PTO/SB/08A (01-08)

Approved for use through 01/31/2008. OMB 0851-0031

Jenna Leigh Johnson

Attorney Docket Number 930037-2008, A.O. 14. US

Under the Paperwork Reduction Act of 1995, no persons are required	to respond to a collection of inform	nation unless it contains a valid OMB control number.	
Substitute for form 1449/PTO	Complete if Known		
	Application Number	10/540,725	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	March 16, 2007	
	First Named Inventor	Shuiyuan Luo	
	Art Unit	1794	

Examiner Name

(Use as many sheets as necessary) Sheet 1 of 1

U. S. PATENT DOCUMENTS Cite Publication Date Name of Patentee or Pages, Columns, Lines, Where Document Number MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Figures Appear Number-Kind Code<sup>2 (Flavours)</sup> <sup>US-</sup> 5,617,903 4-8-1997 Bowen, Jr. <sup>US-</sup> 5,985,450 11-16-1999 Keller US- 6.171,446 1-9-2001 Diaz-Kotti US- 6,352,772 3-5-2002 Keller US-US-UŞ-US-US-US-US-US-119. IIS. US-119 TIS. LIS US-

		FURE	IGN PATENT DOC			
	No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Documen	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Г
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			T <sup>6</sup>
		EP 0995835	4-26-2000	Diaz-Kotti, Michelle		
Examiner Signature	-			Date	1	_

"EXAMINER: Install if reference considered, whether or not cliation is in conformance with MPEP 609. Draw line through cliation if not in conformance and not considered, whether or not cliation is in conformance with MPEP 609. Draw line through cliation in in the conformance and not considered, include copy of this form with next communication to applicant. \*Applicant's unique cliation designation number (options). \*See Kinds Codes or USPTO Patent Drawmark 1997. \*See Kinds Codes or USPTO Patent Drawmark 217.0.\* \*Ent Colline that issued the document, by the two-letter code (WPC) Standard ST.3.\* \*For Japanese patent documents, his indication of the year of the reign of the Emperor must precede the senial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WPC Standard ST.1 if it possible. \*Applicant is to place at dock mark here if Emplish integrage. Translation is attached.

Institution is statiched. The institution is required by Y2 CPF 157 and 158. The information is required to obtain or retain a benefit by the public which is to file (and by the institution of information is required by S1 LSC 3.25 and 3.25 FR.15.1 has castedom is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application from the ILSPTO. Time will very depletion in estimated by S1 LSC 3.25 and 3.25 FR.15.1 has castedom is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application from the ILSPTO. Time will very depletion in estimated to take 2 hours to complete and the manual of time you require to complete this form analytic supplements for submitted to supplement the submitted properties and the submitted to the submitted

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and salact option 2.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-879) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration or the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (6 U.S. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing coursel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or reculation.